FY 2016

STATE OF UTAH STATE RECORDS COMMITTEE Interim Report January 1, 2016, to June 30, 2016



Report compiled by the Utah State Archives 346 S. Rio Grande Street Salt Lake City, Utah 84101

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STATE RECORDS COMMITTEE Interim Report January 1, 2016, to June 30, 2016

WELCOME!

This report covers the work of the State Records Committee from the last five months of fiscal year 2015-2016. It has been decided, to coincide with the government records ombudsman's, to publish a fiscal report versus an annual committee report. This report covers January 1, 2016, through June 30, 2016.

So far, 2016 has been a very busy year for the state records committee. By the end of June, a 41% increase of appeals filed during the same time in 2015. To accommodate the increased workload in May the committee held an interim meeting in addition to the regularly scheduled meeting to accommodate the continuances.

This report contains a synopsis of the appeals filed, participants, findings, and appeals status. From January 1, 2016 to June 30, 2016, the Committee received 58 notices, 1 remand, heard 23 appeals, and executed 23 decisions.



Unfortunately, the increased number of appeals filed and multiple postponements have created an three-month backlog of scheduled hearings set well into September 2016.

MEET THE COMMITTEE

The State Records Committee was established in 1992 by the Utah Legislature under the Government Records Access and Management Act (GRAMA), Utah Code § 63G-2-101.

The seven-member committee is comprised of a governor's designee, a Utah League of Cities and Towns representative, state history designee, two

citizen representatives, media representative, private sector records manager and legal counsel. The Governor with the consent of the Senate appoints all members.

The Committee develops rules to govern its own proceedings as outlined in Title 63G, Chapter 3, and Utah Administrative Rulemaking Act; and by ordering, after notice and hearing, reassign classification and designation for any record series by a governmental entity if the governmental entity's classification or designation is inconsistent with the law. In order to proceed with business transactions there must be a quorum of five members present or be available telephonic.

The body is required to meet at least quarterly to review and approve state and local schedules for the retention and disposal of records. In addition, it is charged to hear appeals from the public requesting records they were denied by the governmental entity. All Committee work is in accordance with Utah Code § 63G-2-101 and it follows Administrative Rule R35 regarding procedures.

Practices and Procedures

The Committee held seven scheduled meetings in 2016 on the second Thursday of each month, with two exceptions, the March meeting was scheduled a week later because of the legislative session, and in May a special meeting was scheduled to accommodate three continuances. The Committee spent a large portion of time hearing appeals from the public for when access to records is denied by a governmental entity. The decisions and orders handed down by the Committee are available online: http://www.archives.state.ut.us/src/index.html. Anyone who is interested all audio files, minutes, and handouts of each meeting are available on the Utah Public Notice Website: http://www.archives.state.ut.us/public-notice.html.

The State Records Committee also approved 28 retentions and dispositions of record series generated by state and local government entities.

MEMBERS OF THE 2016 COMMITTEE



The Governor, Legislature, Attorney General, and State Auditor appoint the members to the Committee. The following individuals served on the Committee in 2016:

Chair, Patricia Smith-Mansfield, Governor's Designee David Fleming, Chair *Pro Tem*, Private Sector Records Manager Tom Haraldsen, News Media Representative Cindi Mansell, Utah League of Cities and Towns representative Holly Richardson, Citizen Representative Marie Cornwall, Citizen Representative Doug Misner, State History Designee Paul Tonks, Legal Counsel, Attorney General's Office

To apply for Appointment to the Board go to https://boards.utah.gov/

Governor's Designee
Term expires July 1, 2016
Term expires July 1, 2018
Term expires September 30, 2019
Term expires September 30, 2016
Term expires July 1, 2017 (resigned September 1, 2016)
Term expires March 1, 2019



LITIGATION

In addition to hearing appeals, the state records committee is actively involved in appellate litigation surrounding GRAMA. Any party who disputes the committee's order may petition for judicial review to the District Court. In 2016, seven new judicial reviews were petitioned, nine are still active from 2015, and three judicial reviews were dismissed. The committee's legal counsel has been the voice for the committee by filing briefs, conducting oral arguments, and attending hearings.

A significant Supreme Court ruling was issued in 2016, *Schroeder v. Utah Attorney General's Office*, 358 P. 3d 1075 (Utah 2015) providing further clarification and guidance for the government and the public seeking records access using GRAMA. Copy of this ruling and others are available on the State Archives webpage.

District Court Cases

In <u>Department of Human Resource Management v. Paul Amann</u>, filed February 19, 2015, Third District Court, Salt Lake County, Case No. 150901160. Complaint filed with the Court and answer filed on behalf of the Committee on March 11, 2015. A motion was made to seal the record, which was granted by the Court on March 9, 2015. Later a motion to consolidate the case with Case No. 150904275 was filed on September 22, 2015.

In <u>Utah Attorney General's Office v. Salt Lake Tribune</u>, filed June 24, 2015, Third District Court, Salt Lake County, Case No. 150904266. A petition for Judicial Review was filed with District Court. The State Records Committee was served with a summons, and later a Motion to Intervene was filed unopposed by Sheriff Noel on September 4, 2015.

In <u>Paul Amann v. Utah Department of Human Resource Management</u>, filed June 24, 2015, Third District Court, Salt Lake County, Case No. 150904275. There is a potential for this case to be combined with the Case No. 150901160.

In <u>Roger Bryner v. Clearfield City, UT</u>, filed October 20, 2015, Second District Court, Davis County, Case No. 150701062. The case was remanded in May 2016, to the Committee for further proceedings regarding whether Mr. Bryner should have access to records responsive to his records request.

In <u>Robert Baker v. Utah Department of Corrections, and State Records Committee</u>, filed November 2015, Third District Court, Salt Lake County, Case No. 150903610.

In <u>Perry City v. Kurt Bailey</u>, filed November 23, 2015, First District Court, Box Elder County, Case No. 150100150. Mr. Bailey filed records request to obtain a copy of a dashboard camera video from the Perry City Police Department. Perry City asserted it did not possess such record. **Dismissed January 2016.**

In *Ramon Somoza v. Utah County Commission and Utah County Attorney*, filed December 10, 2015, Fourth District Court, Utah County, Case No. 150401904.

In <u>Swen Heimberg v. Utah Department of Public Safety, and the Utah State Records Committee</u>, filed December 17, 2015, Third District Court, Salt Lake County, Case No. 150904273. A petition for Judicial Review was filed with the District Court. The State Records Committee was served with a summons.

In <u>Utah Department of Corrections v. John Rice</u>, filed January 21, 2016, Third District Court, Salt Lake County, Case No. 150909012. Corrections filed Notice of Intent to appeal the Committee's decision in Case No. 15-32.

In <u>Chad Lambourne v. Provo City</u>, filed February 24, 2016, Third District Court, Salt Lake County, Case No. 150904273. The appeal to the State Records Committee was untimely. The city preserved this objection. **Dismissed April 6, 2016.**

In Jordanelle Special Service District v. Utah State Auditor, filed February 29, 2016, Third District Court, Case No. 160901401. Dismissed May 11, 2016.

In <u>Roger Bryner v. Clearfield City</u>, filed April 27, 2016, Second District Court, Davis County, Case No. 160700423. This case involves request for documents related to the appointment of Clearfield Justice Court Judges. The Notice of Compliance filed by Clearfield City but an appeal was still filed by Mr. Bryner.

In <u>Roger Bryner v. Utah Department of Health et. Al., Dept. of Technology Services, State of Utah, Patricia Smith-Mansfield, Preston Fretas, Matt Slawson, Rosemary Cundiff, Nova Dubovik, and the State Records Committee, filed May 23, 2016, Third District Court, Case No. 160903244. No service yet on any of the defendants. Mr. Bryner filed a request to waive filing fees but then filed a request for an extraordinary writ of mandamus in the Utah Supreme Court against Judge Gardner and Judge Maughan regarding the fee waiver request. The Administrative Office of the Court will handle the Supreme Court case.</u>

In <u>Edgardo Mata v. Utah Department of Corrections</u>, filed May 24, 2016, Third District Court, Salt Lake County. The State Records Committee was not served with a summons.

In Bryan Thatcher v. Utah Department of Public Safety, and the State Records Committee, filed June 20, 2016, Third District Court, Case No.

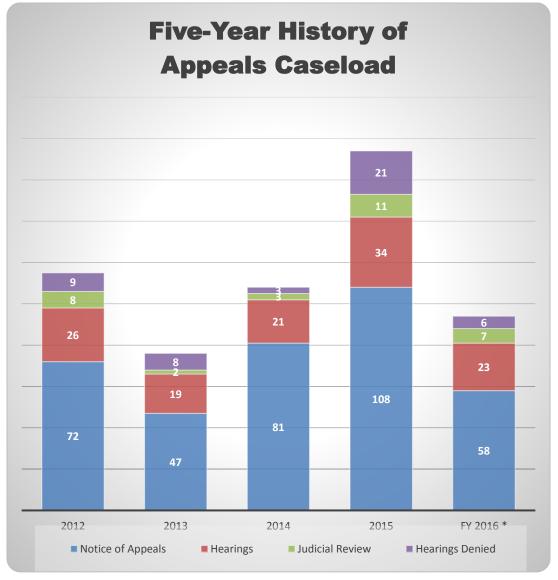
Appellate Court Cases

In <u>Salt Lake City v. Jordan Rivers Restoration Network</u>, Utah Court of Appeals, Case No. 20160098. A request to file a transcript of the trial has been filed by Jordan Rivers Restoration Network

Table 1.

Breakdown of the Notice of Appeals Filed	Quantity
Notice of Appeals filed in 2015 and the hearings scheduled in 2016 (Note: The only action counted in this report for these appeals s if the Committee rendered a decision and order in 2016).	22
Notice of Appeals filed from January 1 –June 30, 2016	58
Committee Decisions and Orders (23)	
Appeals Granted	2
Appeals Granted in part and Denied in part	5
Appeals Denied	9
Continuances	6
Appeals Dismissed	0
2015 Appeal Remanded by the Court to Committee (Appeal Denied)	1
Other Committee Decisions (6)	
Hearings declined-(based on previous Decisions and Orders and/or untimeliness)	3
No Jurisdiction	3
Other Actions (41)	
Appeals Withdrawn by Petitioners	18
Incomplete Notice of Appeals filed	5
Notice of Appeals pending documentation	3
Appeals Scheduled to be heard after June 30, 2016 (does not include continuances)	15
udicial Review of Committee decisions	7
Combined Appeals (When a petitioner files a similar appeal on the same governmental entity the Committee consolidates the appeals to save time and resources. Twenty-six appeals were consolidated to thirteen combined hearings).	13

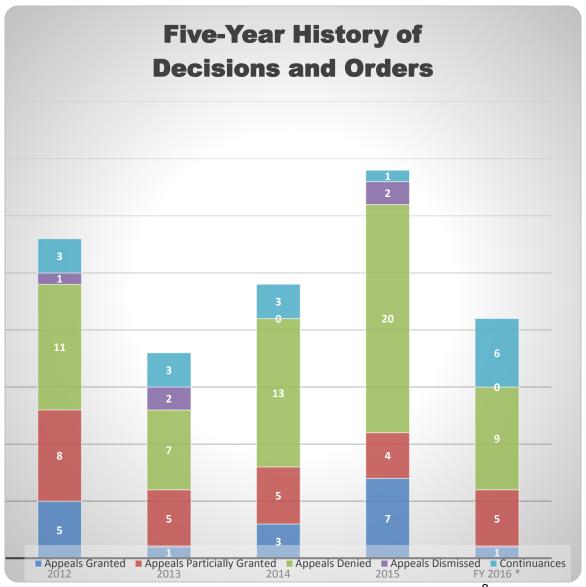
Table 2.



In 2012-2015, the appeals were reported annually. Beginning January 1, 2016, the appeals will be reported by fiscal year to coincide with the data produced by the ombudsman report.

*FY 2016 reflects only 5 months of activity compared to 12 months of activity reported for prior years.

Table 3.

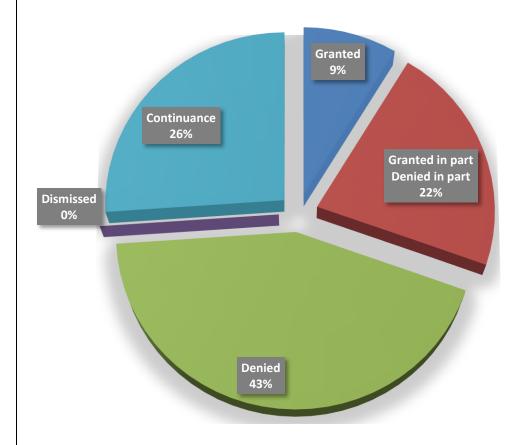


Decisions and orders can be grouped into five categories: granted, partially granted, denied, dismissed (moot), and continuance. The three most common reasons for a denial are:

- Records do not exit.
- Governmental entity properly classified the records.
- Fee waiver denial was found to be reasonable.
- There are times when the committee is provided an opportunity to clarify the intent of the law, and in the case of *Jordanelle Special Service District (JSSD) vs. Utah State Auditor*, Order #16-02, the committee determined a special service district (SSD) is not defined as a "person" in GRAMA. A SSD is defined as a governmental entity; and therefore, cannot file a GRAMA request upon another governmental entity. Governmental entities can utilize the shared records provision in GRAMA.

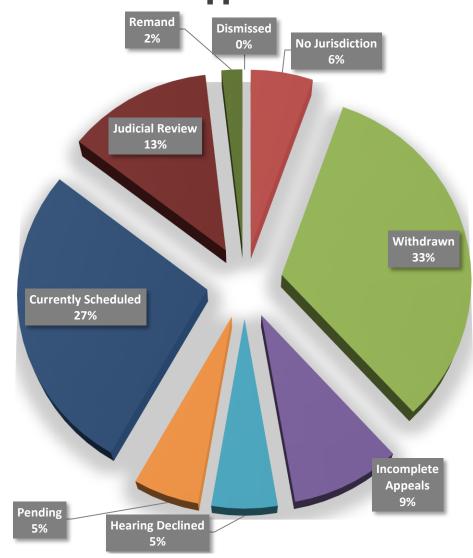
Table 4

2016 Breakdown of Decisions and Orders



In 2016, continuances were more prevalent than any other year due to the voluminous records submitted by governmental entities for *in camera* review. Although appeal denials are at 43%, many of the requesters received partial records through mediation and used the Committee to resolve any remaining disagreements on the governmental entity's classification of records. (See ombudsman report).

Table 5 **Breakdown of Appeals Caseload**



Since the committee was established in 1992, there have been many firsts.

Such a first, occurred when the court remanded a case back to the committee and maintained jurisdiction. In *Roger Bryner v. Clearfield City*, Order #15-27, the Second District Court remanded the 2015 appeal back to the committee for a records access decision.

Table 6

Demographic Category

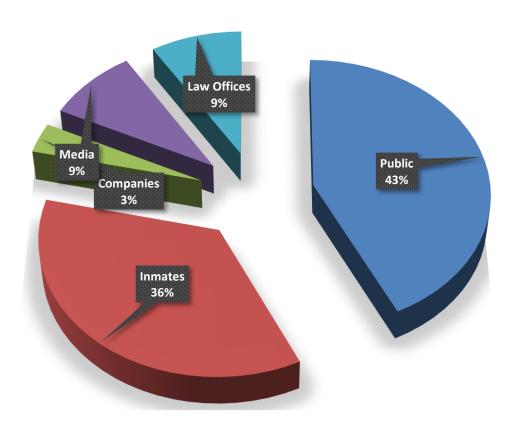
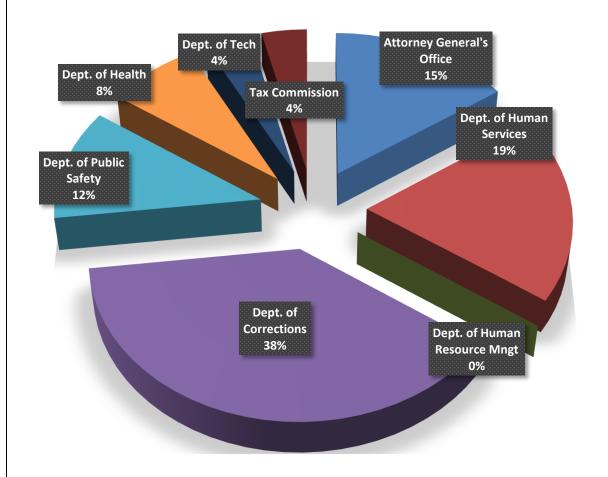


Table 7

Notice of Appeals involving State and Local Government	Quantity
Notice of Appeals filed in 2015 and the hearings scheduled in 2016: (These appeals were reported in the 2015 Annual Report).	22
State Government	12
Local Government	10
Interlocal and Special Service Districts	0
Notice of Appeals filed from January 1 –June 30, 2016	58
State Government	27
Local Government	21
Interlocal and Special Service Districts	7
Outside Committee's Jurisdiction	3

Table 8

Appeals Involving State Agencies

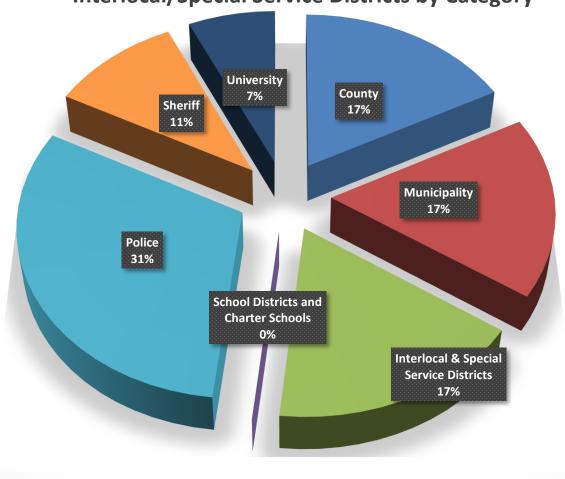


Once again, the Department of Corrections

(UDC) was involved in more appeals than any (38 %) of the other state agencies. Inmates filed the vast majority of appeals involving the Department of Corrections. The Department of Human Services, Division of Child and Family Services was involved in 19% of the appeals filed, and was closely followed by the Attorney General's Office at 15%.

Table 9

Appeals Involving Local Government and Interlocal/Special Service Districts by Category



INDEX A: HEARINGS AND RULINGS

STATE RECORDS COMMI	TTEE HEARINGS (2	3)		
Decision & Order No. (Appeals Request No.)	Case Title/ Participants	Records Sought	Ruling	Appeal Status
proceedings at the Records Com	mined Mr. Bryner's recommittee level. The Court records request have been	d request has not been fully addressed at the Records of will retain jurisdiction. At the rescheduled hearing, the provided to him by Clearfield. The Committee is confidavit by Ms. Dean.	e Committee finds that all pub	olic documents responsive
16-01 (2015-88)	Patrick Sullivan	vs. Utah Attorney General's Office (AGO)	Appeal denied	
from multiple government empthe records, including whether	ployees with his name in the Office properly sear	the subject line or body. The Committee finds that ched "Google Vault" for records, is not yet properly ealing the matter to the Committee.	issues related to the Office'	s initial denial of access to
16-02 (2015-87)	Jordanelle Spec	ial Service District (JSSD) vs. Utah State Auditor	Appeal denied	Summons, Case No. 160901401, filed February 29, 2016- Dismissed.

	anelle Special Service District, is appealing access denial to records. The CoSSD is a "governmental entity" under Subsection 63G-2-103(11)(b)(ii), not a			
16-03 (2015-78)	Chad Lambourne vs. Provo City Police Department	Appeal denied	Summons, Case No. 160901346, filed February 24, 2016- Dismissed	
	ccess denial to his records request: video and/or audio, DUI report form, incie time of the request, were properly classified pursuant to Utah Code § 63G-2-30		h warrant. The	
16-04 (2015-94)	Azlen Marchet vs. Utah Department of Public Safety, Bureau of Forensic Services	Appeal denied		
Mr. Marchet is appealing access denial to his serology toxicology DNA results. The Committee finds that, Respondent does not have a record responsive to Mr. Marchet's request and pursuant to Utah Code § 63G-2-201(8)(a)(i), is not required to create a record. Accordingly, Respondent is not required to provide a record to Mr. Marchet's records request.				
16-05 (2015-95 thru 102)	Annie Knox, Salt Lake Tribune vs. Utah State University	Appeal denied		
Salt Lake Tribune is appealing access denial by Utah's eight separate public universities and colleges for a list of names of students found responsible by their institutions for sexual or violent misconduct over the past 5 years (2010-2015), along with corresponding details about disciplinary action. The Attorney General's Office will be combining and representing all eight universities and colleges. The Committee determined that because of the potential chilling effect on individuals reporting information regarding violent and/or sexual crimes and the prosecution of such crimes, the records were properly classified as private records pursuant to Utah Code §§ 63G-2-305(10) and -305(11).				
16-06 (2015-106)	Michael Clára vs. Salt Lake City Board of Education	Appeal denied		
Mr. Clára is appealing access denial to multiple records from Salt Lake City Board of Education. The Committee determined two of the requests were outside jurisdiction, the fee waiver denial was reasonable, and the protected records were properly classified.				
16-07 (2015-107)	Reginald Williams vs. Attorney General's Office (AGO)	Granted in part denied in part		

Mr. Williams is appealing the failure of the Attorney General's Office to respond to his appeal requesting employee service history available in the Human Resource Enterprise database for eleven Attorney General Office employees. The Committee finds that the records relating to one individual (Jacob Taylor) should be provided after redactions are made for information that is not considered "public" pursuant to Utah Code §§ 63G-2-302(2)(a) or -303, and are not subject to disclosure.

16-08	Helen Redd, on behalf of Marc Jensen vs. Attorney General's Office	Appeal granted	
(2016-01)	(AGO)		

Ms. Redd, on behalf of Marc Jensen, is appealing access denial to responsive records that support representations of the Office of the Attorney General. The Committee reviewed the records request made by Ms. Redd it shows that it was reasonably specific, especially after Ms. Redd provided additional information at the hearing concerning what was being requested. Accordingly, the Office should fulfill Ms. Redd's records request.

16-09	Edgar Frye vs. Department of Human Services, Division of Aging and	Appeal denied	
(2015-75)	Adult Services		

Mr. Frye is appealing access denial to "[a]ll records of interviews, phone calls, etc., in the abuse case #2144836." The Committee finds that the Respondent properly classified the records as protected, and could not disclose them pursuant to Utah statute.

16-10	Patrick Sullivan vs. Attorney General's Office (AGO)	Continuance	
(2016-08)			

Mr. Sullivan is appealing the Attorney General's Office decision to not search Google Vault for responsive emails to his records request. The hearing on this matter is continued until the net available hearing of the State Records Committee, in order to allow Petitioner, Patrick Sullivan, sufficient time to review the additional documents provided by Respondent, the Utah Attorney General's Office, and determine whether the Office complied with his initial records request.

16-11	Roger Bryner vs. Clearfield City	Granted in part	Summons filed April 27,
(2016-11)		and denied in part.	2016. Case No.
,			160700423.

Mr. Bryner is appealing redaction in the production of requested records.

16-12	Utah Rivers Council vs. Washington County Water Conservancy District	Continuance	
(2016-13)	(WCWCD)		

Utah Rivers Council is appealing Washington County Water Conservancy District's determination it does not maintain the record requested. The Committee voted unanimously to continue the hearing to a later date in order to allow Respondent to provide to the executive secretary the records for the Committee. Neither party objected to the continuance.

16-13	Bryan Thatcher vs. Utah Department of Public Safety (DPS)	Continuance	
(2016-15)			

Mr. Thatcher is appealing access denial to reports, notes, records, and/or videos of interview, witness interviews, and/or statements held by DPS. During the deliberation phase of the hearing, a motion was made by a Committee member to review the disputed records *in camera*. When it was determined that the records were too numerous to review in its entirety during the hearing on this matter, the Committee voted unanimously to continue the hearing to a later date in order to allow sufficient time for Committee members to review the disputed records. Neither party objected to the continuance.

16-14 (2016-16) Nate Carlisle, Salt Lake Tribune vs. Attorney General's Office (AGO) Continuance

Mr. Carlisle is appealing access denial to criminal investigation records of Beaver County Sheriff Cameron Noel conducted 2014 or 2015 and requesting a fee waiver. During the deliberation phase of the hearing, a motion was made by a Committee member to review the disputed records *in camera*. When it was determined that the records were too numerous to review in its entirety during the hearing on this matter, the Committee voted unanimously to continue the hearing to a later date in order to allow sufficient time for Committee members to review the disputed records. Neither party objected to the continuance.

16-15	Cody Black vs. Lehi City Police Department	Granted in part
(2016-18)		and denied in part

Mr. Black is appealing access denial to records that pertain to hiring and promoting for selected positions within the police department. The Committee finds that Respondent properly classified the records as "private" records pursuant to Utah Code § 63G-2-302(2)(a). However, pursuant to Utah Code § 63G-2-202(1)(a), the "subject of the record" is entitled to receive a copy of a non-public private record. Accordingly, Respondent should provide Mr. Black with copies of the requested private records where Mr. Black is the subject of the record, but redact any other private information because the subject records "contain both information that the requester is entitled to inspect and information that the requester is not entitled to inspect." See, Utah Code § 63G-2-308.

- 1				
	16-16	Roger Bryner vs. Utah Department of Health	Appeal denied	
	(2016-21)			

Mr. Bryner is appealing a fee waiver and records access denial. The Committee finds Respondent's explanations concerning the time it would take to compile and provide the information to be reasonable and credible. GRAMA allows Respondent to charge Mr. Bryner for the actual costs for providing the record. The Committee also finds Respondent's denial of Mr. Bryner's request for a fee waiver was not an unreasonable denial. Mr. Bryner failed to sufficiently prove any of the factors listed in Utah Code § 63G-2-203(4)(a-c). Additional, although -203(4) "encourages" a governmental entity to fulfill a records request without charge under certain circumstances, "GRAMA still gives a governmental entity the discretion to deny a fee waiver request as long as the denial can be considered reasonable." *Allen v. Eagle Mtn.*, State Records Comm. Decision 2014-07 (Apr. 14, 2014), ¶ 7.

16.18			
16-17 (2016-23)	Edgardo Mata vs. Utah Department of Corrections (UDC)	Appeal denied	Summons filed May 24, 2016. No Case Number
	to his Security Threat Group (STG) file. After reviewing the arguments submittee finds that Corrections properly classified the records as protected record		
16-18 (2016-15a)	Bryan Thatcher vs. Utah Department of Public Safety (DPS)	Granted in part and denied in part (Continuance)	Summons filed June 2016. No Case Number
	nial to reports, notes, recordings, and/or videos of interview, witness interview on-public by Public Safety in camera, for ease of classification and discussion accordingly.		
16-19 (2016-13a)	Utah Rivers Council vs. Washington County Water Conservancy District (WCWCD)	Appeal granted (Continuance)	
the contract the Committee is convir the disputed records should be consi	ashington County Water Conservancy District's determination it does not mainteed that even though the records were maintained by a third party, Responde dered governmental records subject to GRAMA pursuant to Utah Code § 630 ory provision to which access to the records is restricted, the records	nt was the owner of the $G-2-103(22)(a)$. The	e records and therefore, e Committee also
16-20 (2016-29)	Angela H. Elmore, on behalf of Trenton Mellen vs. Salt Lake City Corporation	Continuance	
Ms. Elmore is appealing Salt Lake C determined it needed to review the r	City Corporation's classification of emails, collection methods and results, per ecords in their entirety.	taining to her client's	case. The Committee
16-21 (2016-16a)	Nate Carlisle, Salt Lake Tribune vs. Attorney General's Office (AGO)	Granted in part and denied in part	
waiver. After having reviewed the r manuals in the current records reque	al to criminal investigation records of Beaver County Sheriff Cameron Noel of ecords in camera, the Committee finds that the records that were not part of the st, were properly classified by the Utah AG's Office as protected records pursorosecutors should be given the ability to restrict access to records that becedings, and/or trials.	ne Case No. 15-17 recusuant to Utah Code §	ords request or the policy 63G-2-305(10)(a), (b),

<u> </u>			
16-22 (2016-17)	Patrick Sullivan vs. University of Utah, Medical Center	Continuance	
	al to emails and names of all orthopedic surgeons/residents who worked the extenuating circumstances, the Petitioner was unavailable at the hearing ar		•
Enc	of 2016 State Records Committee	Hearings	



INDEX B: NOTICE OF APPEALS TO THE STATE RECORDS COMMITTEE NOT HEARD, PENDING, and SCHEDULED

Appeals Request No.	Case Title/ Participants	Records Sought	Rulings	Appeal Status
2015-48	Patrick Sullivan	vs. Utah Department of Corrections (UDC)	Appeal withdrawn	

Mr. Sullivan is appealing fee waiver denial and access to emails on the server, where he is the subject, created by multiple UDC staff members. Request # 23804, 23809, 23422, and 23287. The parties resolved the records dispute prior to the scheduled hearing on February 11, 2016.

2015-50	Tammy Halvorson, Diamond Parking Services, LLC vs. Utah State Tax	Appeal withdrawn
	Commission	

Ms. Halvorson, Diamond Parking Services, LLC, represented by Stoel Rives LLP, is appealing the State Tax Commission's denial for Motor Vehicle Information Account record. The parties resolved the record dispute prior to the scheduled hearing on March 17, 2016.

2015-60 Chris McDaniel, BuzzFeed Inc., vs. Utah Department of Corrections (UDC) Appeal withdrawn

BuzzFeed is appealing partial denial request for public interest records referring to the UDC Execution Policy and the execution protocol that law enforcement followed for Ronnie Lee Gardner's execution. The parties resolved the record dispute the day prior to the scheduled hearing on January 14, 2016.

2015-85 Patrick Sullivan vs. Utah Department of Corrections (UDC) Appeal withdrawn

Mr. Sullivan is appealing a fee waiver denial for multiple UDC staff member emails in which he is the subject. The parties resolved the records dispute prior to the scheduled hearing on February 11, 2016.

Patrick Sullivan vs. Utah Department of Human Resource Management (DHRM)

Appeal withdrawn

Mr. Sullivan is appealing access to denial to multiple Utah Department of Corrections staff member disciplinary records/reports, and performance evaluations classified protected under Subsections 63G-2-305(11) & (13). The parties resolved the records dispute prior to the scheduled hearing on February 11, 2016.

2015-103	Leslie Chessman, Hepworth Murray & Associates vs. Utah Department of Human Services, Division of Child and Family Services (DCFS)	Appeal withdrawn	
Ms. Chessman, represented be scheduled hearing on February	by Hepworth Murray & Associates, is appealing access denial to an investigation file. The 11, 2016.	e parties resolved the rec	cords dispute prior to the
2015-108	Reginald Williams vs. Utah Attorney General's Office (AGO)	Appeal withdrawn	
disputes the decision based of	e AGO's decision "that [his] initial request do not fall within the scope of [his] records recon the original GRAMA he requested, "all letters/emails to or from Ken Wallentine regard he scheduled hearing on February 11, 2016.		
2016-02	Joshua Peterman vs. Saratoga Springs	Appeal withdrawn	
	ohne & Kinghorn, is appealing access denial to records showing "shifts, dates, and times" The records dispute was resolved between parties.	that a Saratoga Springs	fire fighter worked from
2016-03	Michael Clára vs. Utah Transit Authority (UTA)	Appeal withdrawn	
Mr. Clára is appealing the af resolved between parties.	firmed denial by the chief administrative officer for "Copies of UTAs current Corporate F	Polices" and fee waiver.	The records dispute
2016-04	Sadie Schilaty vs. Utah Department of Human Services, Division of Child and Family Services (DCFS)	Appeal withdrawn	
Ms. Schilaty is appealing acc	cess denial to DCFS records. The Petitioner decided to withdrawal the appeal.		
2016-05	Thomas Cross vs. Utah Department of Human Services, Division of Child and Family Services (DCFS)	Appeal withdrawn	
request R35-6-2), it was de	nted by Trupiano Law, PC, is appealing denial of investigative notes and records. The nied because it did not demonstrate that an expedited response to the record request be.). The records dispute resolved between parties.		
2016-06	Calvin Johnson vs. Utah Department of Public Safety, Bureau of Forensic Services	Incomplete	
Mr. Johnson is appealing acceletter.	cess denial for results of DNA samples. The Notice of Appeal is missing copy of initial G	RAMA request and gov	ernmental entity denial

Mr. Heward, on behalf of client decision has not been appealed a 2016-08a	es, is appealing access denial to Dash Cam Video relative to TRAX fatal crash on Augusto the Board of Trustees. Patrick Sullivan vs. Utah Attorney General's Office (AGO)	st 14, 2015. The chief	administrative officer's
2016-08a	Patrick Sullivan vs. Utah Attorney General's Office (AGO)		
			Continuance scheduled August 11, 2016
Mr. Sullivan is appealing the A	Attorney General's Office decision to not search Google Vault for responsive email	ls to his GRAMA requ	est.
2016-09	Colby Frazier, Salt Lake City Weekly vs. Salt Lake City Police Department	Appeal withdrawn	
Mr. Frazier is appealing a fee w	vaiver denial. The records dispute was resolved between parties.		
2016-10	Michael Clára vs. Utah Transit Authority (UTA)	Appeal withdrawn	
Mr. Clára is appealing access de	enial to requested records he is the subject of. The records dispute was resolved between	en parties.	
2016-12	Reginald Williams vs. Utah Department of Corrections (UDC)	Appeal withdrawn	
Mr. Williams is appealing acces	ss denial to a "response letter" by a UDC employee. The records dispute was resolved	between parties.	
2016-14	Jeremiah Hart vs. Salt Lake County Attorney	Incomplete	
Mr. Hart is appealing access der entity.	nial to his case files. The GRAMA request and appeal were sent to the wrong offices.	He was referred to the	appropriate governmental
2016-17a	Patrick Sullivan vs. University of Utah, Medical Center		Continuance scheduled August 11, 2016
Mr. Sullivan is appealing access requesting a fee waiver.	s denial to emails and names of all orthopedic surgeons/residents who worked the Priso	on Telemed Clinic on Se	eptember 22, 2014. He is
2016-19	Daniel Ortiz vs. Utah Department of Corrections (UDC)	Appeal withdrawn	
Mr. Ortiz is appealing access de	enial to Security Threat Group (STG) Policy FD29. The records dispute was resolved by		p.

2016-20	Mark Kimball vs. Salt Lake County Health Department	Incomplete	
Mr. Kimball is appealing the appeal.	ne chief administrative officer's failure to reach a decision on an appeal. The notice of app	peal is missing required d	locuments to process the
2016-22	Roger Bryner vs. Utah Department of Health		Appeal scheduled July 14, 2016
Mr. Bryner is appealing a	fee waiver and access denial to database summary.		
2016-24	Chad Lambourne vs. Duchesne County Sheriff's Office (DCSO)	Appeal withdrawn	
Mr. Lambourne is appealing	g access denial to public records the DCSO possesses requested under GRAMA not Disco	overy. The parties resolv	ed the records dispute.
2016-25	Chad Lambourne vs. Washington County Sheriff's Office (WCSO)	Appeal withdrawn	
2016-26	Roger Bryner vs. Utah Department of Technology Services (DTS)		Appeal scheduled July
	Roger Bryner vs. Utah Department of Technology Services (DTS) fee waiver and access denial to database summary.		Appeal scheduled July 14, 2016
Mr. Bryner is appealing a	fee waiver and access denial to database summary.	Appeal withdrawn	11
Mr. Bryner is appealing a 2016-27		Appeal withdrawn under GRAMA not disco	14, 2016
Mr. Bryner is appealing a 2016-27 Mr. Lambourne is appealin	fee waiver and access denial to database summary. Chad Lambourne vs. Washington City Police Department (WCPD)		14, 2016
Mr. Bryner is appealing a 2016-27 Mr. Lambourne is appealing the records dispute. 2016-28	fee waiver and access denial to database summary. Chad Lambourne vs. Washington City Police Department (WCPD) ag access denial for two different clients to public records the WCPD possesses requested Erin Alberty, Salt Lake Tribune vs. Salt Lake City Police Department/Salt Lake City Corp. a Miller are appealing access denial to recordings of dispatch communications and police	Appeal withdrawn	very. The parties resolved
Mr. Bryner is appealing a 2016-27 Mr. Lambourne is appealir the records dispute. 2016-28 Ms. Alberty and Ms. Jessic	fee waiver and access denial to database summary. Chad Lambourne vs. Washington City Police Department (WCPD) ag access denial for two different clients to public records the WCPD possesses requested Erin Alberty, Salt Lake Tribune vs. Salt Lake City Police Department/Salt Lake City Corp. a Miller are appealing access denial to recordings of dispatch communications and police	Appeal withdrawn	very. The parties resolved

2016-30	Becky Wright, Standard-Examiner vs. North Ogden City	Appeal withdrawn	
Ms. Wright is appealing a	ccess denial to an incident report related to a substitute teacher alleged to been under the influ	uence or impaired, N. C	gden Jr. High School.
The records dispute was r	esolved between parties.		
2016-31	Alba & Noemi Cruz vs. Utah Department of Human Services, Division of Aging and Adult Services		Appeal scheduled September 8, 2016
Ms. Cruz is appealing pa	artial access denial to a record that contains the referent's name in the Division of Aging	and Adult Services sys	item.
2016-32	Donald Lyle Ashmore vs. Third District Court	Outside Jurisdiction	
	records held at Third District Court. He requested information on how to file an appeal. An opeal a records access denial to the courts.	informational packet w	as sent containing mater
2016-33	Colby Frazier, Salt Lake City Weekly vs. Salt Lake City Police Department	Appeal withdrawn	
Mr. Frazier is appealing t	ne scope of redactions on a police report. The records dispute was resolved between parties.		
2016-34	Daniel Ortiz vs. Utah Department of Corrections (UDC)	Hearing declined previous decision and order	
determined the subject of classified as private, con	artial access denial to his medical/mental health records from 2004-present day. The Chaf the appeal has been found by the committee in a previous hearing involving the same getrolled, or protected under Utah Code § 63G-2-403(4)(b)(i). See Decision and Order, Wysrc/srcappeal-1999-02.html	overnmental entity to	be appropriately
2016-35	Daniel Ortiz vs. Utah Department of Corrections (UDC)		Appeal scheduled July 14, 2016
	fee waiver denial for all Incident Reports regarding him from July 2015-February 2016.		

2016-36	Josh Richins vs. Utah Department of Human Services, Division of Child and Family Services (DCFS)	Incomplete	
Mr. Greenwood, on beha letter.	f of Josh Richins, is appealing access denial to DCFS records. The notice of appeal is missing	g original request and g	overnmental entity denial
2016-37	Mark Allen vs. Utah County Commission		Appeal scheduled July 14, 2016
Mr. Allen, on behalf of	Protect and Preserve American Fork Canyon, is appealing access denial to government en	nployee emails.	
2016-38	Clarence Branch vs. Davis County Sheriff's Office		Appeal scheduled August 11, 2016
Mr. Branch is appealing retained at North Salt La	access denial to records regarding Case No. 04-3542 and 04-5528. The County's decisionable City.	on is the records are pr	repared, owned, and
2016-39	Wendell Thayne vs. Price City Police Department	Appeal withdrawn	
	access denial to incident reports, probable cause statements, video and/or audio recordings, arrest of Mr. Bowman by Price P.D. and Carbon Metro T.F. The records dispute was resolved		rant affidavits relating to
the September 8, 2015, a			rant affidavits relating to
2016-40 Mr. Valdez is appealing subject of the appeal has controlled, or protected	rrest of Mr. Bowman by Price P.D. and Carbon Metro T.F. The records dispute was resolved	Hearing declined previous decision and order committee member revity to be appropriately	viewed determined the
2016-40 Mr. Valdez is appealing subject of the appeal has controlled, or protected	Raymond Valdez vs Utah Department of Corrections (UDC) partial access denial to his Mental Health notes and Evaluation. The Chair and second C been found by the committee in a previous hearing involving the same governmental entunder Utah Code § 63G-2-403(4)(b)(i). See Decision and Order, Watkins v. UDC, Case	Hearing declined previous decision and order committee member revity to be appropriately	viewed determined the

2016-42	Patrick Sullivan vs. Utah Department of Corrections (UDC)		Appeal scheduled July 14, 2016
Mr. Sullivan is appealin	g the "claim of extraordinary circumstances" and the time frame UDC implemented to co	omplete the request and	d subsequent request.
2016-43	Kevin Berry vs. Utah Department of Corrections (UDC)		Appeal scheduled August 11, 2016
	partial access denial to his psychological evaluations, all medications prescribed, housing I notes, communications AGO to UDC staff from June 1992 to February 2016.	units staff comments,	clinical evaluations,
2016-44	Gage Fisher vs. Utah Department of Human Services, Division of Child and Family Services	Appeal withdrawn	
Mr. Fisher, represented	by Skordas, Caston & Hyde, LLC., is appealing the partial access denial to responsive rec	cords for Case No. 220	05039.
2016-45	Michael Clára vs. Utah Transit Authority (UTA)		Appeal scheduled August 11, 2016
Mr. Clára is appealing for	ee waiver denial and records access.		
2016-46	Jason Jensen, behalf of Lewine Mary Tapia vs. Salt Lake City Police Department		Appeal scheduled September 8, 2016
Mr. Jensen, on behalf of	the Tapia family, is appealing access denial to incident reports for a 1998 case.		
2016-47	Al Coggeshell vs. Utah Department of Corrections (UDC)	Hearing declined.	
no later than 30 days aft	ling access denial to records related to Incident Report #307342. Petitioner did not file a er the date of issuance of the decision being appealed (April 19, 2016) Utah Code § 63G estate records committee by 7 days (received May 26, 2016).		
2016-48	EMH Transportation vs. Utah Department of Public Safety (DPS)		Appeal withdrawn.
Mr. Denny, on behalf of	EMH Transportation, is appealing access denial to an accident report. The parties resolv	ved the records dispute	
		Appeal withdrawn	

2016-50	Patrick Sullivan vs. University of Utah, Medical Center		Appeal scheduled August 11, 2016
Mr. Sullivan is appealing a	fee waiver denial for emails that he is the subject of the records.		
2016-51	Harshad P. Desai vs. Panguitch City		Appeal scheduled August 11, 2016
Mr. Desai is appealing acce	ss denial to public official's education and experience.		
2016-52	Harshad P. Desai vs. Garfield County	Pending	
	ss denial to public official's education and experience. Petitioner did not appeal to	the chief administra	
2016-53	Reginald Williams vs. Utah Department of Corrections (UDC)		Appeal scheduled August 11, 2016
Mr. Williams is appealing 1	edactions to records classified private and protected.		
2016-54	Marian Seamons vs. Ticaboo Utility Improvement District		Appeal scheduled September 8, 2016
Ms. Seamons is appealing ac	cess denial to records Ticaboo Utility Improvement District states it does not possess.		
2016-55	Matthew Piper, Salt Lake Tribune vs. Brigham Young University Police Department	Outside Jurisdiction	
		D 1: D	not a governmental antity
	s denial to emails between the agency and university staff. Brigham Young University I 03(11).	Police Department is	not a governmental entity

2016-57	Mark Shenefelt, Standard-Examiner vs. Ogden City Police Department	Pending			
Mr. Shenefelt is appealing a partial den	Mr. Shenefelt is appealing a partial denial for a supplemental report to an Initial Contact Report. The appeal process has not been exhausted. The petitioner has been				
referred to appeal the CAO decision to the Ogden City Records Review Board as stated in the decision.					
2016-58	Harshad P. Desai vs. Utah Tax Commission	Pending			
Mr. Desai is appealing access denial to tax revenue records. The appeal is missing the chief administrative officer's decision.					

INDEX C: APPEALS FILED			
Decision and Order Case No. (Appeals Request No.)	Case Title/ Participants	Ruling	Appeal Status
(2015-48)	Patrick Sullivan vs. Utah Department of Corrections (UDC)	Appeal withdrawn	
(2015-50)	Tammy Halvorson, Diamond Parking Services, LLC vs. Utah State Tax Commission	Appeal withdrawn	
15-27(Remand) (2015-53a)	Roger Bryner vs. Clearfield City	Appeal denied	Summons served October 20, 2015, Case No. 150701062. On May 9, 2016, Second District Court maintained jurisdiction but remanded the case back to SRC to review all documents requested.
(2015-60)	Chris McDaniel, Buzzfeed Inc., vs. Utah Department of Corrections (UDC)	Appeal withdrawn	
16-09 (2015-75)	Edgar Frye vs. Utah Department of Human Services (DHS)	Appeal denied	
16-03 (2015-78)	Chad Lambourne vs. Provo City Police Department	Appeal denied	Summons, Case No. 160901346, filed February 24, 2016-Dismissed
(2015-85)	Patrick Sullivan vs. Utah Department of Corrections (UDC)	Appeal withdrawn	
(2015-86)	Patrick Sullivan vs. Utah Department of Human Resource Management (DHRM)	Appeal withdrawn	
16-02 (2015-87)	Jordanelle Special Service District vs. Utah State Auditor	Appeal denied	Summons, Case No. 160901401, filed February 29, 2016-Dismissed
16-01 (2015-88)	Patrick Sullivan vs. Utah Attorney General's Office (AGO)	Appeal denied	
16-04 (2015-94)	Azlen Marchet vs. Utah Department of Public Safety, Bureau of Forensic Services	Appeal denied	
16-05 (2015-95)	Annie Knox, Salt Lake Tribune vs. Utah State University	Appeal denied	Eight appeals combined 2015-95 thru 102
16-05 (2015-96)	Annie Knox, Salt Lake Tribune vs. University of Utah	Appeal denied	

16-05 (2015-97)	Annie Knox, Salt Lake Tribune vs. Weber State University	Appeal denied	
16-05 (2015-98)	Annie Knox, Salt Lake Tribune vs. Southern Utah University	Appeal denied	
16-05 (2015-99)	Annie Knox, Salt Lake Tribune vs. Salt Lake Community	Appeal denied	
16-05 (2015-100)	Annie Knox, Salt Lake Tribune vs. Snow College	Appeal denied	
16-05 (2015-101)	Annie Knox, Salt Lake Tribune vs. Utah Valley University	Appeal denied	
16-05 (2015-102)	Annie Knox, Salt Lake Tribune vs. Dixie State University	Appeal denied	
(2015-103)	Leslie Chessman, Hepworth Murray & Associates vs. Utah Department of Human Services (DHS), Division of Child and Family Services (DCFS)	Appeal withdrawn	
16-06 (2015-106)	Michael Clára vs. Salt Lake City Board of Education	Appeal denied	
16-07 (2015-107)	Reginald Williams vs. Utah Attorney General's Office (AGO)	Granted in part and denied in part.	
(2015-108)	Reginald Williams vs. Utah Attorney General's Office (AGO)	Appeal withdrawn	
16-08 (2016-01)	Helen Redd, on behalf of Marc Jensen vs. Utah Attorney General's Office (AGO)	Appeal granted	
(2016-02)	Joshua Peterman vs. Saratoga Springs	Appeal withdrawn	
(2016-03)	Michael Clára vs. Utah Transit Authority (UTA)	Appeal withdrawn	
(2016-04)	Sadie Schilaty vs. Utah Department of Human Services, Division of Child and Family Services (DCFS)	Appeal withdrawn	
(2016-05)	Thomas Cross vs Utah Department of Human Services, Division of Child and Family Services (DCFS)	Appeal withdrawn	
(2016-06)	Calvin Johnson vs. Utah Department of Public Safety, Bureau of Forensic Services	Incomplete	

(2016-07)	Lynn P. Heward, Robert J. Debry & Associates vs. Utah Transit Authority (UTA)	Incomplete	
16-10	Patrick Sullivan vs. Utah Attorney General's Office (AGO)	Continuance	
(2016-08)			
(2016-08a)	Patrick Sullivan vs. Utah Attorney General's Office (AGO)		Continuance scheduled August 11, 2016
(2016-09)	Colby Frazier, Salt Lake City Weekly vs. Salt Lake City Police Department	Appeal withdrawn	
(2016-10)	Michael Clára vs. Utah Transit Authority (UTA)	Appeal withdrawn	
16-11 (2016-11)	Roger Bryner vs. Clearfield City	Granted in part and denied in part	Summons filed April 27, 2016. Case No. 160700423
(2016-12)	Reginald Williams vs. Utah Department of Corrections (UDC)	Appeal withdrawn	
16-12	Utah Rivers Council vs. Washington County Water	Continuance	
(2016-13)	Conservancy District (WCWCD)		
16-19	Utah Rivers Council vs. Washington County Water	Appeal granted	
(2016-13a)	Conservancy District (WCWCD)		
(2016-14)	Jeremiah Hart vs. Salt Lake County Attorney	Incomplete	
16-13 (2016-15)	Bryan Thatcher vs. Utah Department of Public Safety (DPS)	Continuance	
16-18	Bryan Thatcher vs. Utah Department of Public Safety (DPS)	Granted in part and denied	Summons filed June 2016. No case number.
(2016-15a)		in part	
16-14 (2016-16)	Nate Carlisle, <i>Salt Lake Tribune</i> vs. Utah Attorney General's Office (AGO)	Continuance	
16-21	Nate Carlisle, Salt Lake Tribune vs. Utah Attorney General's	Granted in part and denied	
(2016-16a)	Office (AGO)	in part	
16-22	Patrick Sullivan vs. University of Utah, Medical Center	Continuance	
(2016-17)			
(2016-17a)	Patrick Sullivan vs. University of Utah, Medical Center		Continuance scheduled August 11, 2016
16-15	Cody Black vs. Lehi City Police Department	Granted in part and denied	
(2016-18)		in part	
(2016-19)	Daniel Ortiz vs. Utah Department of Corrections (UDC)	Appeal withdrawn	

(2016-20)	Mark Kimball vs. Salt Lake County Health Department	Incomplete	
16-16 (2016-21)	Roger Bryner vs. Utah Department of Health	Appeal denied	
(2016-22)	Roger Bryner vs. Utah Department of Health		Appeal scheduled July 14, 2016 Summons filed May 23, 2016, Case No. 160903244
16-17 (2016-23)	Edgardo Mata vs. Utah Department of Corrections (UDC)	Appeal denied	Summons filed May 24, 2016.
(2016-24)	Chad Lambourne vs. Duchesne County Sheriff's Office	Appeal withdrawn	
(2016-25)	Chad Lambourne vs. Washington County Sheriff's Office	Appeal withdrawn	Three appeals combined
(2016-26)	Roger Bryner vs. Utah Department of Technology Services (DTS)		Appeal scheduled July 14, 2016 Summons filed May 23, 2016, Case No. 160903244
(2016-27)	Chad Lambourne vs. Washington City Police Department	Appeal withdrawn	Two appeals combined
(2016-28)	Erin Alberty, <i>Salt Lake Tribune</i> vs. Salt Lake City Police Department	Appeal withdrawn	
16-20 (2016-29)	Angela H. Elmore, on behalf of Trenton Mellon vs. Salt Lake City Corporation	Continuance	
(2016-29a)	Angela H. Elmore, on behalf of Trenton Mellon vs. Salt Lake City Corporation		Continuance scheduled July 14, 2016
(2016-30)	Becky Wright, Standard-Examiner vs. North Ogden City	Appeal withdrawn	
(2016-31)	Alba & Noemi Cruz vs. Utah Department of Human Services, Division of Aging and Adult Services		Appeal scheduled September 8, 2016
(2016-32)	Donald Lyle Ashmore vs. Third District Court	Outside Jurisdiction	
(2016-33)	Colby Frazier, Salt Lake City Weekly vs. Salt Lake City Police Department	Appeal withdrawn	

(2016-34)	Daniel Ortiz vs. Utah Department of Corrections (UDC)	Hearing declined previous decision and order	
(2016-35)	Daniel Ortiz vs. Utah Department of Corrections (UDC)		Appeal scheduled July 14, 2016
(2016-36)	Josh Richins vs. Utah Department of Human Services, Division of Child and Family Services	Incomplete	
(2016-37)	Mark Allen, on behalf of Protect and Preserve American Fork Canyon vs. Utah County		Appeal scheduled July 14, 2016
(2016-38)	Clarence Branch vs. Davis County Sheriff's Office		Appeal scheduled August 11, 2016
(2016-39)	Wendell Thayne vs. Price City Police Department	Appeal withdrawn	
(2016-40)	Raymond Valdez vs. Utah Department of Corrections (UDC)	Hearing declined previous decision and order	
(2016-41)	William Sherratt vs. Administrative Office of the Courts	Outside Jurisdiction	
(2016-42)	Patrick Sullivan vs. Utah Department of Corrections (UDC)		Appeal scheduled July 14, 2016
(2016-43)	Kevin R. Berry vs. Utah Department of Corrections (UDC)		Appeal scheduled August 11, 2016
(2016-44)	Gage Fisher vs. Utah Department of Human Services, Division of Child and Family Services	Appeal withdrawn	
(2016-45)	Michael Clára vs. Útah Transit Authority (UTA)		Appeal scheduled August 11, 2016
(2016-46)	Jason Jensen, behalf of Lewine Mary Tapia vs. Salt Lake City Police Department		Appeal scheduled September 8, 2016
(2016-47)	Al Coggeshell vs. Utah Department of Corrections (UDC)	Hearing declined	
(2016-48)	EMH Transportation vs. Utah Department of Public Safety	Appeal withdrawn	
(2016-49)	Reginald Williams vs. Utah Attorney General's Office (AGO)	Appeal withdrawn	
(2016-50)	Patrick Sullivan vs. University of Utah, Medical Center		Appeal scheduled August 11, 2016

(2016-51)	Harshad P. Desai vs. Panguitch City		Appeal scheduled August 11, 2016
(2016-52)	Harshad P. Desai vs. Garfield County	Pending	
(2016-53)	Reginald Williams vs. Utah Department of Corrections		Appeal scheduled August 11, 2016
(2016-54)	Marian Seamons vs. Ticaboo Utility Improvement District		Appeal scheduled September 8, 2016
(2016-55)	Matthew Piper, Salt Lake Tribune vs. Brigham Young University Police Department	Outside Jurisdiction	
(2016-56)	James J. Duran vs. Department of Human Services, Division of Child and Family Services		Appeal scheduled September 8, 2016
(2016-57)	Mark Shenefelt, <i>Standard-Examiner</i> vs. Ogden City Police Department	Pending	
(2016-58)	Harshad P Desai vs. Utah Tax Commission	Pending	